



CLIENT AGREEMENT FOR FOREIGN CORPORATES

DOCUMENT CHECK LIST

- Securities Investment Account (SIA) details with a letter of confirmation from the bank
- Certified copy of the Certificate of Incorporation
- Certified copy of the Articles of Association
- Extract of the Board Resolution to open a CDS account or alternatively the section "Certificate of Resolution" of Form 2 to be duly filled and signed
- Names and addresses of the top ten (10) shareholders of the company along with their respective shareholding, signed by two (2) Directors on a company letterhead
- Names, addresses, occupation and current passport details of the Directors of the company, certified by the Company Secretary on a company letterhead
- A Certificate of Good Standing, not older than a year, issued by the Registrar of Companies or applicable authority where the company is incorporated. A certified copy can also be submitted
- Certified copy of the Commencement of Business Certificate issued by the Registrar of Companies or applicable authority where the company is incorporated
- Form 2 completed by the company in accordance with the provisions of the Articles of Association of the Company
- Form 2 A completed by the company in accordance with the provisions of the Articles of Association of the Company
- Form 2 B completed by the company in accordance with the provisions of the Articles of Association of the Company
- A Certificate of Name Change issued by the Registrar of Companies or the applicable authority where the company is incorporated, if there has been a name change. A certified copy can also be submitted
- Embossed or rubber seal (as specified in the Articles of Association) on Form 2 / Form 2 A / Form 2 B and on all other documents
- All supporting documents supplied by the company to be certified as a "True Copy" by the Registrar of Companies or a Solicitor, Attorney-at-Law, Notary Public practicing in the country where the company is incorporated, or by a Sri Lankan Diplomat or Consular Officer in that country

Notes:

- If a corporate body acts as a Director for the applicant company, name of the Directors of the holding company, date and place of incorporation and registered address is required
- For foreign companies listed in a regulated stock exchange, the names of company Directors and that of the stock exchange with the web site reference is sufficient
- If persons other than the directors are authorized to sign the CDS application forms on behalf of the applicant company, a Power of Attorney and Board Resolution authorizing such persons to sign on behalf of the company and copies of NIC/Passport of such persons is required

Form 2 B

Authorized
Signature and
Stamp of
Participant

NOTE: This application should be submitted through your Participant

To: The Central Depository Systems (Pvt.) Ltd.,
04-01, West Block, World Trade Centre, Echelon Square, Colombo 01.

DECLARATION

We _____
(Name of Corporate Body)

incorporated / established under _____

having its registered office at _____
in consideration of the Central Depository Systems (Pvt.) Ltd. (CDS) agreeing to open a Securities Account, hereby declare as follows:

1. (i) The Securities Account to be opened by us in the CDS shall be maintained for our benefit only.

Or;

(In the event the number of beneficiaries does not exceed three persons, please complete clause 1(ii) below.)

(ii) The Securities Account to be opened by us in the CDS shall be maintained for the benefit of the following persons in our capacity as Custodian / Trustee / Fund Manager / Unit Trust Manager.

Name	Address	Nationality
1.		
2.		
3		

Or;

(In the event there are more than three beneficiaries, please complete clause 1(iii) below.)

(iii) We, in our capacity as Custodian / Trustee / Fund Manager / Unit Trust Manager shall maintain all information such as names, addresses and nationalities pertaining to the ultimate beneficiaries of the account and undertake to promptly release to the CDS & the #Participant such information pertaining to the beneficiaries, at any time, if required by the CDS/ # Participant.

2. The application and the documents attached thereto bear true and correct information and no alteration, modification or falsification was carried out to them to hide or deflect true facts.

3. In the event of a variation of any information given in the CDS Form, this Declaration and other information submitted by us along with the application to open the CDS Account, we undertake to inform the CDS & the #Participant in writing within fourteen (14) days of such variation.

4. The funds to be invested for the purchase of securities through the Securities Account to be opened with the CDS will not be funds derived from any money laundering activity or funds generated through the financing of terrorist or any other illegal activity.

5. We have not been banned and/or rejected and /or suspended by any criminal/civil tribunal or administrative authority in Sri Lanka or in any other country in connection with the following offences:

- Engaging directly or indirectly in any transaction in relation to any property which is derived or realized directly or indirectly, from any unlawful activity or from the proceeds of any unlawful activity as defined by the Financial Transactions Reporting Act No. 6 of 2006;
- Receiving, possessing, concealing, disposing, of or bringing into Sri Lanka or into any other country, or for investing in Sri Lanka or in any other country, any property which is derived or realized, directly or indirectly, from any unlawful activity or from the proceeds of any unlawful activity referred to above; or
- Any other offence which has been defined as an offence under the Prevention of Money Laundering Act No.5 of 2006 and any amendment thereto or any similar legislation in any other part of the world.

6. We hereby further declare that we are persons of good standing with no record of criminal convictions in relation to the offences stated above, in Sri Lanka or in any other country.

7. We or any persons(s) associated with us and/or any entity connected to us (as a partner, shareholder, director) do not have against us or persons connected and/or associated as aforesaid any convictions/pending criminal proceeding in Sri Lanka or in any other part of the world except the following (give detailed description of any pending litigation);

- _____
- _____
- _____
- _____

8. We declare that our application and other relevant documentation to open a CDS account has not been refused or any business relationship has not been declined previously by any other Participant of the CDS.

9. We further declare and agree that, should the CSE/CDS determine any statements made by us herein to the contrary, (or any such matter through publicly available information or otherwise) which would in the opinion of the CSE/CDS be detrimental to the CDS as an institution having to comply with the laws/regulations of Sri Lanka pertaining to transactions of its account holders or parties connected to such account holders, the CDS is hereby authorized to unilaterally terminate all depository and such other services connected to us and recover related costs or other expenses pertaining to this account.

10. We declare that the information set out below is true and accurate and our investments will be in accordance with such information:

We hereby confirm that;

- contents of Form 2, along with the KYC Profile (Form 2 A) and the Declaration (Form 2 B) have been duly read over and understood by us prior to signing.
- the information provided by us in the said Form 2, along with the KYC Profile (Form 2 A) and the Declaration (Form 2 B) is accurate.

We further agree that, we shall be bound by terms and conditions contained in Form 2, along with the KYC Profile (Form 2 A) and the Declaration (Form 2 B).

(Place the Company Seal and signature/s of Director/s as required by the Articles of Association)

***Strike out whichever is inapplicable.**

This _____ day of _____ 20__

Participant means, your Stockbroker or Custodian Bank.